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FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/736,723 430 12/15/2003 3624 020776.0119 2 25 3

CONFIRMATION NO. 3576

FILING RECEIPT

OC000000012175232

BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS, TX 75201-2980

05073

Date Mailed: 03/25/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kalman A. Lifson, Dallas, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 03/24/2004

Projected Publication Date: 06/16/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Stock portofolio and method

Preliminary Class

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kalman A. Lifson

Serial No.:

10/736,723

Filing Date:

December 15, 2003

Confirmation No.:

3576

Title:

STOCK PORTFOLIO AND METHOD

Office of Initial Patent Examination's Customer

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

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Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

For the Title, please delete "Stock Portofolio and Method". Please insert "Stock Portfolio and Method". A corrected filing receipt is respectfully requested.

ATTORNEY DOCKET NUMBER 020776.0119

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While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this ____ day of April, 2004.

BAKER BOTTS L.L.P.

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